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GW: gth 1/30/05 4810-66314-02 457228 79612-63

PATENT
Attorney Reference Number 4810-66314-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sai K. Lim

Application No. 10/521,071

Filed: January 12, 2005

Confirmation No. 5425

For: HEMANGIOBLAST PROGENITOR
CELLS

Examiner:

Art Unit:

Attorney Reference No. 4810-66314-02

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP MISSING PARTS, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney or Agent
for Applicant(s)

Date Mailed November 30, 2005

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MISSING PARTS TRANSMITTAL LETTER

Enclosed for filing in the application referenced above are the following:

- ☒ Copy of Notice to File Missing Parts of Application--Filing Date Granted
- ☒ Second Preliminary Amendment
- ☒ Declaration
- ☒ Power of Attorney by Assignee (Revocation of Prior Powers) (4 pages)
- ☒ Copy of Assignment as filed on November 30, 2005
- ☒ Power of Attorney by Applicant/Inventor (Revocation of Prior Powers) (1 page)
- ☒ 10 sheets of formal drawings
- ☒ Nucleotide and/or Amino Acid Sequence Submission
 - ☒ Computer Readable Copy
 - ☒ Paper Copy (identical to computer copy), 7 pages
 - ☒ Statement in compliance with 37 C.F.R. § 1.821 verifying identity of above copies
- ☒ Statement under 37 C.F.R. § 3.73(b) by Assignees (2 pages)
- ☒ Applicant petitions for an extension of time for one month. If an additional extension of time is required please consider this a petition therefor. The extension fee of \$120.00 is enclosed.
- ☒ A check in the amount of \$250.00 to cover the Surcharge for Late Filing of Fee or Oath or Declaration (Large Entity) and the extension fee.

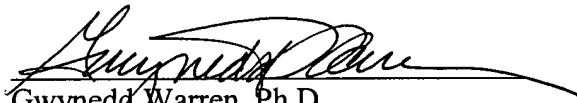
12/06/2005 GFREY1 00000136 10521071

01 FC:1617 130.00 OP
02 FC:1251 120.00 OP

- ☒ The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

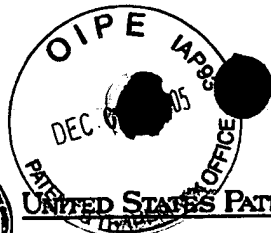
Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By 
Gwynedd Warren, Ph.D.
Registration No. 45,200

One World Trade Center, Suite 1600
121 S.W. Salmon Street
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cc: Docketing



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Page 1 of 2

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UNITED STATES PATENT AND TRADEMARK OFFICE

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4810-66314-02

U.S. APPLICATION NUMBER NO. 10/521,071	FIRST NAMED APPLICANT Sai King Lim	ATTY. DOCKET NO. 084330-000000US
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INTERNATIONAL APPLICATION NO.

PCT/SG03/00169

IA FILING DATE 07/11/2003	PRIORITY DATE 07/12/2002
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20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 5425
371 FORMALITIES LETTER
OC000000016895284
OC000000016895284

Date Mailed: 08/30/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/12/2005
- Copy of the International Search Report filed on 01/12/2005
- Copy of IPE Report filed on 01/12/2005
- Preliminary Amendments filed on 01/12/2005
- Oath or Declaration filed on 01/12/2005
- Request for Immediate Examination filed on 01/12/2005
- U.S. Basic National Fees filed on 01/12/2005

DOCKETED FOR: 10/30/05, 3/30/06

COMPUTER *ms*
BOOK *ms*
SCAN *ms*
CC: *ms*

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

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- **\$130 Surcharge.**

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/521,071	PCT/SG03/00169	084330-000000US

FORM PCT/DO/EO/905 (371 Formalities Notice)

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KLARQUIST SPARKMAN, LLP

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Telephone: 503-595-5300 • www.klarquist.com

Facsimile: 503-595-5301

FAX TRANSMITTAL

DATE: April 5, 2006

TO: Ms. Paulette Kidwell

FAX PHONE NO.: (571) 273-9820

FROM: Garrett Hinesley

RE: HEMANGIOBLAST PROGENITOR CELLS (NATIONAL)

OUR FILE: 4810-66314-02

YOUR FILE: Serial No. 10/521,071

NO. OF PAGES 3 (including this cover page)

PLEASE ACKNOWLEDGE RECEIPT BY RETURN FACSIMILE? ☒ Yes ☐ No

CONFIRMATION TO FOLLOW? ☐ Yes ☒ No

CONTACT INFO: If you do not receive all pages or if you have problems receiving transmittal, please call us at (503) 595-5300 as soon as possible and ask for Garrett Hinesley.

MESSAGE: Regarding our telephone conversation this morning, please find attached a copy of the Declaration submitted with the Response to Missing Parts on November 30, 2005. Also attached is a copy of the return postcard date stamped December 2, 2005, by the Patent Office. Please contact me if you require anything further at this time. We look forward to receiving the Filing Receipt for this application.

THE INFORMATION CONTAINED IN THIS TRANSMISSION IS CONFIDENTIAL AND ONLY FOR THE INTENDED RECIPIENT IDENTIFIED ABOVE. IF YOU ARE NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION OR USE OF THIS COMMUNICATION IS UNLAWFUL. IF YOU HAVE RECEIVED THIS TRANSMISSION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE (COLLECT), RETURN THE ORIGINAL MESSAGE TO US, AND RETAIN NO COPY.

**COHEN PONTANI LIEBERMAN & PAVANE**

551 Fifth Avenue, New York, NY 10176 phone 212.687.2770 fax 212.972.5487 www.cplplaw.com

Fax**To:** Mrs. Paulette Kidwell**From:** Yolanda Usher**Fax:** 571-273-9820**Pages:** 5 (total number of pages)**Re:** USSN 10/547,217**Date:** Wednesday, April 05, 2006☐ **Confirmation will follow**☒ **No confirmation to follow**

Per our telcon of today, transmitted herewith is the executed Declaration and Power of Attorney.

Confidentiality Statement

This transmission may contain information which is legally privileged or otherwise protected from disclosure. Its contents are confidential and intended for the addressee only, and must not be used, copied or disseminated by any person other than the addressee. The recipient is requested to notify the sender immediately of any error in transmission and to destroy any transmission not intended for the recipient.

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Please call us at (212) 687-2770**

Client Matter No. 4810-66314-02

Atty/Sec G.W.ugh

Inventor(s) Sei K. Lim

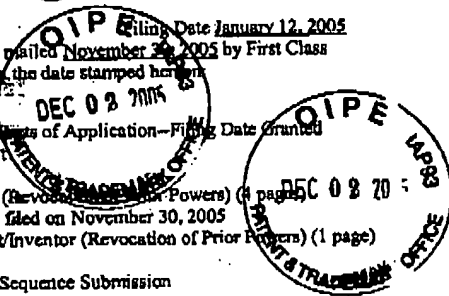
Serial No. 10/521,071

Filing Date January 12, 2005

The following, due October 30, 2005, mailed November 29, 2005 by First Class

Mail, was received in the U.S. PTO on the date stamped hereon

- ☒ Transmittal Letter in duplicate
☒ Copy of Notice to File Missing Parts of Application—Filing Date Granted
☒ Second Preliminary Amendment
☒ Declaration
☒ Power of Attorney by Assignee (Revocation of Prior Powers) (4 pages)
☒ Copy of Assignment as filed on November 30, 2005
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☒ Statement under 37 C.F.R. § 3.73(b) by Assignees (2 pages)
☒ Petition for an extension of time.
☒ A check in the amount of \$250.00 to cover the Surcharge for Late Filing of Fee or Oath or Declaration (Large Entity) and extension fee.
☒ Deposit Account 02-4530 authority



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